



## **AGENDA ITEM: 7**

**AUDIT AND GOVERNANCE COMMITTEE:**

**29 September 2015**

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**Report of: Borough Treasurer**

**Relevant Managing Director: Managing Director (People and Places)**

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**SUBJECT: INTERNAL AUDIT ACTIVITY – QUARTERLY UPDATE**

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Wards affected: Borough wide

### **1.0 PURPOSE OF THE REPORT**

- 1.1 To advise of progress against the 2015/16 Internal Audit Plan.
- 1.2 To summarise audit work completed since the previous update.

### **2.0 RECOMMENDATION**

- 2.1 That Members note progress in the year to date.

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### **3.0 BACKGROUND**

- 3.1 This committee approved the 2015/16 Internal Audit Plan and the Internal Audit Manager brings written updates on progress against it to each meeting of this Committee.
- 3.2 The report summarises progress to late September. This work will inform the overall opinion in the Internal Audit Annual Report that will be presented to this Committee just after the end of the financial year.
- 3.3 The appendix reports assurance provided by work concluded in the period and updates Members on any issues previously drawn to this Committee's attention.

#### **4.0 INTERNAL AUDIT ACTIVITY TO DATE**

- 4.1 An appendix summarising progress to date and any significant issues arising is attached and the Internal Audit Manager will attend the meeting to present the report should Members have any questions.
- 4.2 Progress against the plan to date is satisfactory with 36% of audits in progress compared to 38% for the same period in the previous year.

#### **5.0 SUSTAINABILITY IMPLICATIONS/COMMUNITY STRATEGY**

- 5.1 There are no significant sustainability impacts associated with this report and, in particular, no significant impact on crime and disorder. The report has no significant links with the Sustainable Community Strategy.

#### **6.0 FINANCIAL AND RESOURCE IMPLICATIONS**

- 6.1 All activity referred to in this report is covered by existing budget provisions.

#### **7.0 RISK ASSESSMENT**

- 7.1 This report summarises progress against Internal Audit's work programme to date. Internal Audit's work is a key source of assurance to this Committee that risks to the achievement of the Council's objectives are being properly managed.

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#### **Background Documents**

There are no background documents (as defined in Section 100D(5) of the Local Government Act 1972) to this Report.

#### **Equality Impact Assessment**

The decision does not have any direct impact on members of the public, employees, elected members and / or stakeholders. Therefore no Equality Impact Assessment is required.

#### **Appendices**

Appendix A - Internal Audit Quarterly Activity Update.